



June 2, 2017

In accordance with the Bylaws, notice of the **Annual Meeting of the Members of CFA Society Los Angeles, Inc. to be held 5:00 PM PDT on Tuesday, June 27th, at the Museum of Contemporary Art, Los Angeles** is hereby given. The purpose of the meeting is to elect officers and members of the Board of Governors and to transact such other business that properly comes before the meeting. The meeting is open to all members of the Society and all non-retired regular members are eligible to vote.

The nominating committee, consisting of **Rama Malladi, CFA (Chair), Nathaniel Downes, CFA, Kerry Rapanot, CFA, Mark Harbour, CFA, and Roger Gewecke, CFA** hereby submits the following slate of candidates for officers and members of the Board of Governors for the fiscal year 2017-2018.

President

Dan Pomerantz, CFA

University of California, Los Angeles. BS, MS in Civil Engineering, MBA.

Dan Pomerantz is a Director and Senior Portfolio Manager at City National Rochdale Investment Management. Prior to joining City National Mr. Pomerantz was a Principal and Senior Client Advisor at Bessemer Trust where he managed ultra-high net worth client portfolios. Services included investment management, trust, tax and estate planning. Prior to Bessemer, Mr. Pomerantz worked as a Senior Portfolio Manager at the Northern Trust Company and managed over \$800 million in assets. He also worked as a Civil Engineer for eight years and holds a professional engineer license in the state of California.

Mr. Pomerantz has a long affiliation with CFALA starting in 2002. He has chaired a number of committees and has been on the Board of Governors since 2012. Dan is currently the Vice President of the Society and focused on governance issues. He is also an instructor in the USC/CFALA Review Program for the CFA Exam. Dan is a CFA charterholder and a Certified Financial Planner, CFP®. He has an MBA from the Anderson School of Management at UCLA and bachelor's and master's degrees in civil engineering from UCLA.

Vice President

Akiko Hayata, CFA

Occidental College in Los Angeles, California, BA in Biochemistry

Akiko Hayata, CFA, is a senior vice president and manager of the foreign exchange execution desk at Payden & Rygel. She is responsible for implementing investment strategies and executing trades in currency markets. Hayata analyzes economic fundamentals in combination with technical and flow analysis to identify relative value opportunities. She monitors firm-wide currency exposures and performance attribution.

Akiko Hayata holds the Chartered Financial Analysts designation, and is a member of the CFA Society of Los Angeles and the CFA Institute. She received her BA in Biochemistry from Occidental College in Los Angeles, California.

Treasurer

James F Lewis, CFA, CIPM

Senior Vice President, TCW Group

Prior to joining TCW in 1999, Mr. Lewis was a Quantitative Analyst for Loomis, Sayles & Company. Mr. Lewis received a BS in Mathematics from the University of Oklahoma and an MBA from the University of Southern California. He is a CFA charterholder and member of the CFA Institute. He is a Past-President of CFA Society Los Angeles.

Secretary

Alicia Romo, CFA

Managing Director, Head of Investments and Short Term Funding

Alicia Romo is the head of Investments and Short Term Funding for MUFG Union Bank, located in Los Angeles. Alicia manages the team that is in charge of all aspects of the bank's investment portfolio including investment strategy, liquidity, capital, and regulatory requirements. She also supervises the short-term funding requirements of the bank.

Prior to joining MUFG Union Bank, Alicia was a Senior Manager at Deloitte & Touche, LLP where she was an SME in structured finance and interest rate risk management. Alicia consulted nationally for investment portfolio management, securitization structures and in front-office and back-office securitization and derivative operations. She was a regular speaker at national MBA and securitization conferences.

Prior to joining Deloitte in 1999, she was a First Vice President in the treasury department of Countrywide Home Loans handling funding, investments and derivatives. Alicia also spent 12 years in corporate banking with The Sumitomo Bank, CIBC and Bank of America, marketing various credit products, derivatives and securitizations.

Alicia received her BS in Business Administration from the University of Southern California. She was awarded her Chartered Financial Analyst designation in 1999.

Past President

Nathaniel "Ned" Downes, CFA, FRM

Pepperdine University, Masters of Business Administration

University of California, Berkeley, Bachelor of Architecture

Mr. Downes is an investment associate at UBS Financial Services, where his team is one of the largest discretionary asset managers in the nation. He helps manage equity and fixed income mandates for high net worth individuals, and is primarily focused on research and trading. Among his earlier positions, he supported Fortune 500 clients with portfolio accounting and software solutions.

Ned received his MBA from Pepperdine University and a Bachelor of Architecture degree from the University of California, Berkeley. He holds the Chartered Financial Analyst (CFA) and Financial Risk Manager (FRM) designations, and is an active volunteer with CFA Institute and the CFA Society of Los Angeles, where he currently manages university relations. He also judges the annual ACG Cup, a business valuation competition, and is a proctor for the Mensa entrance exams.

Returning* Governor: 3-Year term expiring 2018

Don Brown, CFA

Mr. Brown joined Chelsea in 2011 after 27 years in corporate banking. At Chelsea he is a member of the Research and Investment Committee and Chief Compliance Officer.

He holds both the Chartered Financial Analyst (CFA) and the Financial Risk Manager (FRM) designations, a BA from the University of Michigan, and an MIM from Thunderbird School of International Management. He has been an active volunteer at the CFA Institute since 1997.

His last 22 years in banking were with the Los Angeles Office of Intesa Sanpaolo Bank where he was promoted to General Manager and later Representative.

He served as an Officer in the US Navy, lived and studied abroad at the International Christian University in Mitaka, Japan, and has been a Director on the Board of Directors for OR Concepts Applied of Whittier, California since 1989.

Returning* Governor: 3-Year term expiring 2018

Anil Kapoor, CFA, CPA

State University of New York at Albany, BS, Accounting

Anil Kapoor, CPA, CFA is a Research Analyst / Portfolio Counselor at Clifford Swan Investment Counsel. Anil began his career in public accounting at Ernst & Young before deciding to concentrate on the investment management industry. In his twenty years in the industry, he has worked as a bottom-up analyst on numerous industries within different strategies (long only, market-neutral, etc.). His professional experience includes Auditing & Assurance Business Advisory Services, Financial Analysis, and Research / Portfolio Management. Anil is a member of the Research and Investment Teams at the firm and focuses his efforts primarily on equity research.

Returning* Governor: 3-Year term expiring 2019

Tom Mahoney, CFA, CAIA

Tom is a 30-year veteran of the financial services industry. After receiving his B.B.A. in Finance from the University of Wisconsin-Madison, Tom earned an M.B.A. from Pepperdine University. In 2016, he completed the Private Equity and Venture Capital Program at Harvard Business School. He began his career with E.F. Hutton and has also worked at Kidder Peabody and Donaldson, Lufkin & Jenrette. In 2004, he joined UBS Private Wealth Management, successfully bringing all of his clients with him. He is a Chartered Financial Analyst® (CFA®) charterholder and is Co-Chair of the Private Wealth Management Community of Interest of the CFA Society of Los Angeles and is a Chartered Alternative Investment Analyst (CAIA®). He is a co-founder and past president of the Los Angeles chapter of the CAIA Association.

Tom serves on the Board of Directors and Finance Committee of The People Concern, one of LA's largest nonprofit organizations, which offers assistance, shelter and facilities to homeless individuals. An active scuba diver, Tom is a dive master and certified rescue diver. He also enjoys skiing, hiking and playing chess. He and his wife have twin girls and they live in Santa Monica.

Returning* Governor: 3-Year term expiring 2019

Jitendra Motwani, CFA

California State University, Fullerton. MA in Economics.

Jitendra Motwani is a Vice President at Wilshire Associates Inc. where he is the Client service manager and Relationship manager for Americas region. Mr. Motwani serves as a Senior Fixed Income Product specialist advising clients on data operations, portfolio analytics, risk management, attribution analysis etc.

Mr. Motwani has been actively involved with CFALA starting in 2012. He currently serves as the

co-chair of the Investment Research Challenge committee. Jitendra is a CFA charter holder and a Financial Risk Manager, FRM ®. He has a Master of Arts Degree in Economics from California State University and a Bachelors in Pharmaceutical Science from Mumbai University.

Governor: 3-Year term expiring 2020

Andy B. Chong, CFA

University of California in Davis

Andy Chong is a portfolio manager at BNY Mellon Wealth Management located in Los Angeles, California. Andy works directly with high net worth individuals and families to address their investment and comprehensive wealth management needs. He works closely with outside client advisors, such as tax advisors and family attorneys, to better serve his clients.

Andy has over 17 years of investment experience. Prior to joining BNY Mellon Wealth Management, Andy was a Vice President and Senior Portfolio Manager at Northern Trust. In addition, Andy was a Senior Investment Officer responsible for supervising seven investment professionals across offices in Southern California, Arizona and Texas for Northern Trust. His previous experience includes having investment responsibilities servicing Ultra High Net Worth and Institutional clients at Kayne Anderson Rudnick and US Trust in Los Angeles.

Andy received his Bachelor of Arts from the University of California in Davis. He was awarded the Chartered Financial Analyst (CFA) designation in 2007 and is an active member of the CFA Society of Los Angeles (CFALA).

Governor: 3-Year term expiring 2020

Michael W. Tully, CFA, ASA

University of Southern California

Mike is a Principal in the Economic and Valuation Services practice of KPMG LLP and leads the Pacific Southwest Valuation Services group. He has more than twenty-three years of business/equity valuation, transactional, and financial and tax accounting experience.

Mike provides executive level expertise and execution oversight to valuation analyses of all size and complexity. He has been involved in major transactions for numerous Fortune 100, mid-market, and small-cap companies (domestically and globally). He has performed engagements related to the valuation of common stock, minority and majority business interests, privately held interest, intangible assets (particularly customer relationship and technology related), reporting units, debt instruments, preferred stock, and inventory. He has worked on engagements involving numerous industries, including aerospace/defense, engineering and construction, technology, health care, consumer products, and telecommunications.

All members of CFALA are encouraged to attend the Annual Meeting of the Members of the Corporation. Members who are not able to attend the Annual Meeting may be represented by proxy. Proxies must be in writing and filed with the Secretary of the Corporation before being voted.

Very truly yours,

/s/

Nathaniel Downes, CFA
President

/s/

Doug Achtemeier, CFA
Secretary

**Returning Governors not up for reelection.*